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1 Dissociable contributions of the amygdala and ventral 2 hippocampus to stress-induced changes in defensive behavior 3 4 5 Zachary T. Pennington¹, Alexa R. LaBanca¹, Patlapa Sompolpong¹, Zoe Christenson Wick¹, Yu Feng¹, Zhe Dong¹, Taylor R. Francisco¹, Lingxuan Chen¹, Sasha L. Fulton¹, Ian Maze^{1,2,3}, 6 7 Tristan Shuman¹, Denise J. Cai¹ 8 1. Nash Family Department of Neuroscience, Icahn School of Medicine at Mount Sinai 9 2. Department of Pharmacological Sciences, Icahn School of Medicine at Mount Sinai 10 3. Howard Hughes Medical Institute, Icahn School of Medicine at Mount Sinai Correspondence: Denise.Cai@mssm.edu and Zachary.Pennington@mssm.edu 11 12 Abstract: 13 Severe stress can produce multiple persistent changes in defensive behavior. While much is known about the circuits supporting stress-induced associative fear responses, how 14 15 circuit plasticity supports the broader changes in defensive behavior observed after severe 16 stress remains unclear. Here, we find that stress-induced plasticity in the ventral hippocampus (vHC) and basolateral amygdala (BLA) support doubly dissociable defensive behavioral 17 changes. Stress-induced protein synthesis in the BLA was found to support lasting 18 enhancements in stress sensitivity but not enhancements in exploratory anxiety-related 19 20 behaviors, whereas protein synthesis in the vHC was found to support enhancements in 21 anxiety-related behavior but not enhancements in stress sensitivity. Like protein synthesis, neuronal activity of the BLA and vHC were found to differentially support the expression of 22 23 these same defensive behaviors. Lastly, blockade of associative fear had no impact on stress-24 induced changes in anxiety-related behavior. These findings highlight that multiple memory-25 systems support stress-induced defensive behavior changes. 26 **Keywords:** 27

28 Basolateral amygdala, ventral hippocampus, stress, fear, anxiety, PTSD, memory, stress-

29 enhanced fear learning, defensive behavior

30 INTRODUCTION

31 In immediate response to stressful and life-threatening events, animals display 32 evolutionarily conserved defensive responses, including changes in heart rate and respiration, 33 stress hormone release, as well as the behavioral initiation of fight, flight, and freezing ¹⁻⁶. If sufficiently strong, stressful events can also instantiate persistent changes in how animals 34 35 interact with their environment. Perhaps most extensively studied are associative fear responses, in which animals engage in defensive behaviors such as freezing and/or flight 36 when re-exposed to environmental cues present at the time of the initial stressful experience 37 ^{1,7-12}. However, after severe stress, animals also display alterations in foraging and exploration 38 in uncertain environments ^{3,5,13,14} (often referred to as anxiety-related behavior), as well as 39 heightened responses to future stressful events ¹⁴⁻¹⁷. These long-lasting defensive behavioral 40 41 changes are fundamental to anxiety disorders, which include fear of stress-related cues, heightened stress responses, and reduced environmental engagement; and are frequently 42 predated by the experience of severe stress ¹⁸⁻²¹. 43

It is often assumed that many of the defensive behavioral changes observed in the 44 45 aftermath of stress are fundamentally associative in nature – animals could either be responding to cues that were directly present at the time of stress, or stimuli resembling these 46 cues to some degree (i.e., stimulus generalization)²²⁻³⁰. For example, it is well-documented 47 that startle responses are potentiated by the presence of associative fear cues ^{31,32}, suggesting 48 49 that associative stimuli may drive heightened responses to aversive events after stress. Moreover, it is possible that following stress, alterations in exploration in anxiety-related 50 51 behavior tests such as the elevated-plus maze could be accounted for by shared features with 52 the environment in which the stressor took place. Lastly, several reports document altered associative fear learning and generalization in humans with anxiety disorders ^{23,24,33-35}. In light 53 54 of these findings, broad emphasis has been placed on associative learning processes governing the lasting consequences of stress. However, the explanatory reach of an 55 56 associative framework has its limits. Pre-weanling rodents incapable of forming associative fear memories have been found to nevertheless display decreased exploration in exploratory 57 58 anxiety-related behavior tests, as well as heightened responses to subsequent aversive stimuli following stressful experiences ¹⁴. Moreover, extinguishing fear to stress-associated cues 59 does not necessarily mitigate sensitized responses to new stressors ^{15,36,37}. These findings 60

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highlight the persistence of some stress-induced behavioral phenotypes despite weak
associative fear, indicating a potential dissociation. As such, it could be the case that multiple
memory systems – associative and non-associative – support the enduring consequences of
stress on defensive behavior. However, a direct biological dissociation of such memory
systems has remained elusive. If discovered, this would have broad implications for the
treatment of anxiety disorders, potentially explaining why treatments focused on associative
processes are ineffective in some individuals ³⁸⁻⁴⁰.

Here, we explore the contributions of stress-induced plasticity within the ventral 68 hippocampus (vHC) and basolateral amygdala (BLA) to the enduring impacts of stress on 69 different defensive behaviors. Neuronal activity within both the BLA and vHC are well known 70 to regulate defensive behaviors ^{8,41-48}. However, whether stress-induced plasticity within these 71 72 structures act in concert to support a common defensive behavioral process, or whether they support distinct defensive behavior changes, is unclear. We find that plasticity within each 73 74 brain region supports separate defensive behavior changes in response to stress, 75 demonstrating unique functions of these structures, and supporting the view that multiple 76 memory systems underly stress-induced defensive behavioral changes.

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77 **RESULTS**

78 Acute severe stress produces multiple, lasting, changes in defensive behavior.

79 We first sought to establish a behavioral protocol in which a single acute stressor produces lasting changes in multiple defensive behaviors, adapting a prior model that has 80 been used extensively in mice and rats ^{14,15,49-51} (Fig 1A). Animals were placed in a distinct 81 environment where they received 10 foot-shocks during a 10-min period ('trauma', T), or were 82 placed in the same environment but did not receive foot-shocks ('no-trauma', NT). A week 83 later, multiple defensive behaviors were assessed. In the light-dark box, an exploratory test 84 that captures rodents' natural avoidance of well-lit places and is sensitive to anxiolytics ^{52,53}, 85 trauma-exposed animals showed increased anxiety-related behavior, reflected in more time 86 spent in the dark side of the light-dark box (Fig 1C. t_{47.5}=5.5, p<0.001). To assess associative 87 88 fear, animals were returned to the trauma environment (trauma recall). As expected, traumaexposed animals spent large amounts of time freezing (Fig 1D. t_{40.7}=11.5, <0.001). Lastly, we 89 90 assessed the animals' stress sensitivity by placing the animals in a novel environment, in 91 which they showed very little initial freezing at baseline (0.1% baseline freezing in controls vs 92 1.3% in trauma-exposed; t_{42.9}=2.8, p<0.01). A loud auditory startle stimulus was then presented (novel stressor). When returned to this environment the next day, trauma-exposed 93 94 animals showed substantially more freezing (Fig 1E. t_{47.6}=5.79, <0.001). Notably, although this phenomenon is often termed stress-enhanced fear learning, learning rate analysis suggests 95 96 the enhanced learning likely reflects heightened sensitivity to the aversive stimulus rather than 97 an enhanced learning rate (Fig S1).

98 Next, as a preliminary means of establishing that these defensive behaviors convey 99 information about unique biobehavioral processes, we correlated these phenotypes in a large 100 group of trauma-exposed animals, as high inter-phenotype correlations would suggest shared 101 biological origins. We found minimal to no relationship between behavioral tests (Fig 1F-H. trauma recall and light-dark: $R^2=0.04$, p=0.19; trauma recall and novel stressor: $R^2=0.19$, 102 p<0.01; light-dark and novel stressor: R^2 =0.13, p=0.32). Though each of these measures is 103 104 likely subject to imperfect test-retest reliability, these findings nevertheless suggest that these 105 phenotypes may be independently governed.



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107 Figure 1: Acute stress produces multiple, lasting, changes in defensive behavior. A)

Animals were exposed to a distinct environment in which they received 10 foot-shocks (trauma, T) or were placed in the sameenvironment and received no foot-shocks (no-trauma,

110 NT). A week later, they were tested in the light-dark test of anxiety-related behavior.

111 associative fear of the trauma environment, and their response to a novel stressor in a new

112 environment. B) Trauma-exposed animals displayed high levels of post-shock freezing during

the trauma, C) increased time in the dark in the light-dark test, D) strong associative fear of the

trauma environment, and E) increased fear of the novel stressor environment when placed in

this environment on the final test day. F-H) Examining a large set of trauma-exposed animals,

these post-trauma phenotypes were found to be poorly correlated, suggesting they reflect

117 unique biological processes. NT=23 & T=40 mice. p<.05 (*), p<0.01 (**), p<0.001 (***).

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Stress-induced protein synthesis in the BLA and vHC produce distinct changes in defensive behavior.

120 In order to assess how stress-induced plasticity supports persistent changes in 121 defensive behavior, we utilized post-stress administration of the protein synthesis inhibitor 122 anisomycin, as protein synthesis is known to support the consolidation of many forms of memory and to regulate synaptic plasticity ⁵⁴⁻⁶⁰. Further, because manipulations of protein 123 124 synthesis can be done after a learning experience, it provides a means of disrupting the 125 consolidation of an experience without altering its initial encoding. To validate that the 126 emergence of the observed defensive behavioral changes are indeed supported by stress-127 induced protein synthesis, we first tested the effects of systemically administered anisomycin (Fig 2B-D). Animals underwent trauma and were immediately after given 3 injections of 128 129 anisomycin or vehicle (at 0, 4, and 8 hours). Alternatively, animals were placed in the same environment but did not receive trauma and were treated with vehicle. A week later, relative to 130 131 no-trauma controls, trauma-exposed animals treated with vehicle exhibited increases in 132 anxiety-related behavior in the light dark-test (Fig 2B. t_{12.1}=4.9, p<0.001), associative fear in 133 the trauma recall test (Fig 2C. t_{8.4}=7.2, p<0.001), and heightened fear of the novel stressor environment (Fig 2D. t₁₄=2.7, p=0.02). Post-trauma anisomycin administration reduced all of 134 135 these stress-induced defensive behaviors relative to trauma-exposed animals given vehicle 136 (Fig 2B-D. light-dark test: $t_{16,3}=2.4$, p=0.031; trauma recall: $t_{8,4}=6.6$, p<0.001; novel stressor: 137 t_{15.9}=2.9, p<.01).

Next, we assessed the impacts of targeting trauma-induced protein synthesis 138 139 specifically in the BLA or vHC, regions previously linked to regulating defensive behaviors. 140 Mice had indwelling cannulas implanted above either the BLA or vHC (Fig 2E. See Fig S3 for 141 placement in all animals). After surgical recovery, animals then underwent trauma and 142 immediately thereafter received intracranial infusions of anisomycin or vehicle. Alternatively, 143 they experienced no trauma and were treated immediately with vehicle. Animals treated with 144 vehicle in the BLA or vHC showed no behavioral differences and are collapsed here (Fig S2). 145 Moreover, prior to vehicle/anisomycin treatment, no differences were observed in freezing 146 during the trauma session for animals that underwent trauma (Group: F_{2.76}=1.1, p=0.33; Group x Time: F_{20,760}=0.5, p=0.96). As expected, relative to no-trauma controls, trauma-exposed 147 148 animals treated with vehicle exhibited heightened anxiety-related behavior in the light-dark test

149 (Fig 2F. t_{47.5}=5.5, p<0.001), a strong associative trauma memory (Fig 2G. t_{40.7}=11.5, p<0.001), 150 and heightened fear of the novel stressor (Fig 2H. $t_{47.6}$ =5.8, p<0.001). These behaviors were 151 differentially affected by blocking trauma-induced protein synthesis in the BLA and vHC. In the light-dark test, anisomycin in the vHC greatly attenuated trauma-induced increases in animals' 152 153 preference for the dark (Fig 2F. t_{28.2}=3.1, p<0.01). However, anisomycin in the BLA was without effect (t_{44.9}=1.09, p=0.28). In the trauma recall test, anisomycin in either the BLA or 154 155 vHC were effective at reducing associative freezing relative to trauma controls (Fig 2G. BLA: 156 $t_{56,9}$ =8.2, p<0.001, vHC: t_{44} =4.2, p<0.001), though the BLA appeared to contribute to a more sizable degree (Fig 2G. T:BLA-ani vs T:vHC-ani: t_{30.5}=2.4, p=0.02). Lastly, anisomycin in the 157 158 BLA was able to block the enhanced sensitivity to a novel stressor, whereas anisomycin in the vHC was without effect (Fig 2H. BLA: t_{56.4}=4.1, p<0.001, vHC: t_{46.2}=1.6, p=0.11). These 159 findings highlight that while stress-induced plasticity in the BLA is paramount for associative 160 fear and heightened stress sensitivity, it is not necessary for alterations in anxiety-related 161 162 behavior. Conversely, stress-induced plasticity in the vHC is essential for increased anxiety-163 related behavior, and to a lesser extent associative fear recall, but not heightened stress 164 sensitivity. Importantly, the finding that blockade of protein synthesis in the BLA had a profound impairment on associative fear for the trauma environment but no detectable effect 165 166 on the light-dark test further suggests a dissociation between anxiety-related behavior and associative fear. 167



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Figure 2: Stress-induced protein synthesis in the BLA and vHC support distinct 169 170 changes in defensive behavior. A) Immediately after trauma (T) or no-trauma (NT), animals were administered 3 injections of anisomycin (ani) or vehicle (veh). A week later, they were 171 tested in the light-dark test of anxiety-related behavior, associative fear of the trauma 172 173 environment, and their response to a novel stressor in a new environment. B) Systemic administration of anisomycin after trauma reduced time in the dark side in the light-dark test. 174 C) associative freezing in the trauma environment, D) and reduced freezing in the novel 175 stressor environment when placed in this environment on the final test day. E) Example 176 placement of cannula injectors in the BLA and vHC for intracranial anisomycin infusions. F) 177 Anisomycin in the vHC after trauma reduced subsequent time in the dark side of the light-dark 178 179 test, whereas anisomycin in the BLA was without effect. G) Anisomycin in the BLA or vHC reduced associative fear of the trauma environment. H) Anisomycin in the BLA reduced 180 freezing in the novel stressor test relative to controls, whereas anisomycin in the vHC was 181 without effect. For systemic injections, NT: veh=7, T:veh=9, and T:ani=10 mice. For 182 intracranial infusions. NT:veh=23. T:veh=40. T:ani-BLA=19. and T:ani-vHC=20 mice. P<.05. 183 184 (*), p<0.01 (**), p<0.001 (***).

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185 Distinct stress-induced defensive behaviors require activity of the BLA and vHC

The prior findings indicate that stress-induced plasticity within the BLA and vHC support the induction of distinct post-stress phenotypes. We next sought to address whether activity within these structures also differentially contributes to the expression of these behaviors after trauma. To inhibit neural activity in the BLA or vHC, we utilized the inhibitory ionotropic designer receptor PSAM4-GlyR (PSAM) in conjunction with its activating ligand uPSEM-817tartrate (uPSEM) ⁶¹.

192 To first verify that we could reversibly alter the activity of the BLA and vHC to change 193 defensive behavior, we used the PSAM-uPSEM system to inactivate the BLA or vHC during 194 trauma memory recall, as the activity of both regions have been shown to be important for 195 associative fear memories ⁶². A pan-neuronal virus expressing PSAM was infused into either 196 of these two structures a month prior to behavioral testing (Fig 3A. See Fig S4 for placement). 197 Then, animals received the trauma previously described and a week later were tested in a 198 trauma recall test, once after receiving an injection of uPSEM and once after receiving an 199 injection of vehicle. No differences were observed in freezing behavior during the trauma 200 experience for animals with the PSAM receptor in the BLA versus the vHC (Region: $F_{1,14}=2$, p=0.18; Region x Time: F_{10.140}=0.5, p=0.91). However, in the recall test, inhibition of either the 201 202 BLA or vHC via administration of the agonist uPSEM reduced associative freezing (Fig 3C. Drug: F_{1,14=}12.1, p<0.01; Drug X Region: F_{1,14}=0.2, p=0.67). 203

204 Next, to assess the contributions of BLA and vHC neural activity to the expression of 205 enhanced anxiety-related behavior and stress sensitivity after trauma, PSAM-expressing virus 206 was infused into either of these structures, or animals underwent a control surgery in which 207 PBS was infused (CTRL) (Fig 3D. See Fig S5 for placement). A month later, all animals 208 underwent the trauma procedure and were tested for anxiety-related behavior and stress 209 sensitization. Notably, no behavioral differences were observed during the initial trauma, 210 suggesting that expression of the receptor alone had no effect on the acquisition or expression of conditioned freezing (Fig 3E. Group: F_{2,23}=0.3, p=0.72; Group x Time: F_{20,230}=1.6, p=0.1). A 211 212 week later, animals were tested in the light-dark test after administration of uPSEM. 213 Consistent with our finding that stress-induced protein synthesis within these structures 214 supports distinct behaviors, inhibition of the vHC produced a dramatic decrease in time spent 215 on the dark side (Fig 3F. $t_{11,7}=5$, p<0.001), whereas inhibition of the BLA was without effect

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216 (Fig 3F. t_{16.8}=0.4, p=0.68). Next, we tested the animals drug-free in a trauma recall session in 217 order to ensure that prior inactivation during the light-dark test did not influence subsequent 218 behavior, as well as to further confirm that receptor expression alone did not influence memory recall. As expected, there was no difference in freezing between groups (Fig 3G. F_{2,23}=0.5, 219 220 p=0.6). Lastly, we inactivated either the BLA or the vHC via uPSEM administration prior to the 221 novel stressor. The following day, when animals were returned to the novel stressor 222 environment, BLA-inactivated animals froze less than controls (Fig 3H. t_{13} =2.4, p=0.04), 223 whereas vHC inhibition did not alter freezing ($t_{13,8}$ =1.3, p=0.23). In summary, inactivation of the BLA/vHC replicated the effects observed with protein synthesis inhibition, where the BLA 224 225 supports heightened stress sensitivity, and the vHC supports enhanced anxiety-related behavior. 226

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Figure 3. Distinct stress-induced defensive behaviors require activity of the BLA and 228 **vHC.** A) A pan-neuronal virus expressing the inhibitory ionotropic receptor PSAM4-GlyR 229 (PSAM) was expressed in either the BLA or vHC a month before behavior, or animals 230 231 underwent a control surgery (Ctrl) in which PBS was infused. B) To assess efficacy of PSAM-232 mediated neuronal silencing on behavior, a group of animals expressing PSAM in either the BLA or vHC underwent trauma, and week later their associative recall of the trauma 233 environment was tested in the presence or absence of the PSAM ligand uPSEM. C) Inhibition 234 of either the BLA or vHC was able to reduce trauma memory recall. D) In a separate set of 235 animals that underwent trauma, we then tested the effects of inhibiting the BLA or vHC during 236 testing of anxiety-related behavior in the light-dark test and administration of the novel 237 stressor. Animals had PSAM-expressing virus infused into the BLA, vHC, or underwent Ctrl 238 239 surgery. E) No differences were observed in freezing during trauma, indicating no effect of receptor expression alone. F) Inhibition of the vHC, but not the BLA, was able to attenuate 240 stress-induced increases in time spent in the dark side in the light-dark test. G) In a drug-free 241 test, there were no differences in trauma memory recall. H) Inhibition of the BLA, but not the 242 vHC, during the novel stressor was able to reduce subsequent freezing when placed back in 243 244 this environment. For 2B/2C, BLA=9 and vHC=7 mice. For 2D-2H, Ctrl=9, PSAM-BLA=10, 245 and PSAM-vHC=7 mice. p<.05 (*), p<0.01 (**), p<0.001 (***). 246

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Activity of the vHC, but not BLA, is necessary for multiple anxiety-related behaviors.

248 We selected the light-dark test instead of other exploratory measures of anxiety-related 249 behavior because initial pilot studies suggested behavior in this test was more reliably altered 250 by prior stress. To assess whether our findings generalize to other measures of exploratory 251 anxiety-related behavior, PSAM expressing virus was infused into the BLA or vHC a month before behavioral testing. Animals were then given the trauma and a week later were tested in 252 253 the open field, elevated plus maze, and the light-dark tests, once with vehicle and once with 254 uPSEM for each test (drug and testing order counterbalanced; see Fig S6 for placement). Again, inhibition of the vHC, but not the BLA, reduced time in the dark side of the light-dark test 255 (Fig 4B. vHC: F_{1.7}=14.9, p<0.01, BLA: F_{1.6}=0.01, p=0.92). Additionally, inhibition of the vHC 256 reduced time in the closed arms of the elevated plus maze (Fig 4C. F_{1,7}=55.6, p<0.001). Of 257 258 some interest, inhibition of the BLA produced a slight increase in time in the closed arms $(F_{1.6}=10.2, p=0.02)$, although this effect does not survive multiple comparisons across all 259 anxiety-related measures. Lastly, neither inhibition of the BLA nor the vHC affected behavior 260 in the open field test (Fig 4D. Drug: F_{1.13}=1.7, p=0.211; Drug X Region: F_{1.13}=0, p=0.96). 261 262 These findings confirm that the effects of vHC inhibition generalize to at least one other commonly used measure of exploratory anxiety-related behavior, and further suggests that 263 264 inhibition of the BLA does not alter these measures.





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Figure 4. Activity of the vHC, but not BLA, is necessary for multiple anxiety-related
behaviors. A) Animals expressing PSAM in either the BLA or vHC were subjected to trauma
and then tested in multiple exploratory anxiety-related tests, both with and without uPSEM. B)
Inhibition of the vHC reduced time in the dark side in the light-dark test, B) as well as time in
the closed arms of the elevated plus maze. C) Neither inhibition of the BLA nor the vHC
altered distance from the center in the open field test. BLA=7 and vHC=8 mice. p<.05 (*),
p<0.01 (**), p<0.001 (***).

273 **DISCUSSION**

274 A wealth of literature supports the notion that the BLA and vHC regulate defensive behaviors ^{8,41-48}. In light of reciprocal connections between these structures ⁶³⁻⁶⁵, it would be 275 reasonable to hypothesize that stress-induced plasticity within the BLA and vHC subserve a 276 277 common defensive process (or processes). While the findings presented here do not negate 278 this possibility, they nevertheless highlight that under certain conditions the BLA and vHC 279 support dissociable defensive behavioral functions, both at the levels of stress-induced plasticity and neuronal activity. Stress-induced protein synthesis within the BLA was found to 280 281 be critical to stress-evoked enhancements in stress sensitivity, whereas stress-induced protein 282 synthesis within the vHC had no bearing on this defensive phenotype. Conversely, stressinduced protein synthesis within the vHC, but not the BLA, was found to support heightened 283 exploratory anxiety-related behaviors. Suppressing neural activity within the BLA or vHC was 284 of similar effect to protein synthesis blockade. 285

286 Notably, it could be argued that although stress-induced plasticity within each of these 287 structures supports the induction of distinct defensive phenotypes, connectivity between the 288 two structures could still be fundamental to the expression of both phenotypes. That is, stressinduced plasticity within one structure may support behavior changes via its connections with 289 290 the other. Our results suggest otherwise. If plasticity within the vHC was supporting increased anxiety-related behaviors via its connections with the BLA, inhibition of either structure would 291 292 be expected to have an effect on anxiety-related behavior. Similarly, if plasticity within the BLA 293 regulated stress sensitivity through its connections with the vHC, inhibition of the vHC would 294 be expected to alter this phenotype. However, blockade of activity within each of these 295 structures produced dissociable impacts on behavior, mirroring the effects of protein synthesis 296 blockade. As such, these structures appear to contain distinct systems through which stress 297 produces lasting changes in behavior.

The dissociation of the contributions of the BLA and vHC to the defensive behaviors studied here is not entirely without precedent, although side by side comparisons of their functions are limited. For instance, despite the large literature on the role of the BLA in associative fear learning ^{9-11,41,42,66-69}, several studies have reported that inhibition of the BLA is without effect on exploratory anxiety-related behaviors ⁷⁰⁻⁷³, although discrepancies also exist ⁷⁴. Additionally, a recent report found that optogenetic stimulation of projections from the vHC

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304 to the BLA do not regulate exploratory anxiety-related behavior ⁷⁵. This corroborates the 305 hypothesis that vHC regulates exploratory-anxiety related behavior through its connection with 306 other down-stream structures such as the hypothalamus ⁷⁵⁻⁷⁷ or medial prefrontal cortex ⁴⁴. While stimulation of BLA terminal fibers in the vHC has been found to alter anxiety-related 307 308 behavior ⁷¹, this may reflect a general effect of exciting the vHC, as opposed to the natural role served by BLA to vHC efferents. In concert with our findings, these results broadly suggest 309 310 that the vHC may regulate exploratory anxiety-related behavior in a manner distinct from its connections with the BLA. 311

312 Relatively few studies have examined how stress sensitivity is altered as a function of 313 prior experience. However, consistent with our findings, existing work supports a role for the BLA. Either inactivation of the BLA or blockade of glucocorticoid receptors in the BLA prior to 314 315 an acute stressor have been found to reduce subsequent enhancements in responding to an aversive stimulus ⁴⁹. Further, antagonism of ghrelin receptors in the BLA during chronic stress 316 is able to block subsequently enhanced responses to a new stressor⁷⁸. Our results strengthen 317 318 the proposition that plasticity within the BLA supports these changes by utilizing a post-stress 319 manipulation of anisomycin, which does not interfere with the initial stress experience. By contrast, outside of the work presented here, little is known about the contributions of the vHC 320 321 to stress-induced changes in stress sensitivity. Thus, the finding that enhancements in stress sensitivity following aversive experience are independent of plasticity and activity of the vHC is 322 323 entirely novel.

Blockade of stress-induced plasticity in the BLA and vHC were both able to impair 324 325 associative fear learning for the initial stressor, and blockade of activity within either structure 326 was similarly able to impair associative memory recall. That said, blockade of stress-induced 327 plasticity within the BLA had a much more pronounced effect than the same manipulation 328 performed in the vHC. This discrepancy is perhaps in line with prior reports pointing to a more equivocal role of the vHC in associative fear. For instance, modulation of vHC granule cells 329 have been found to modulate anxiety-related behaviors, but not associative fear learning ⁴⁵; 330 331 another report indicates that inactivation of the vHC disrupts the acquisition of auditory, but not contextual, fear learning ⁷⁹; and newer findings suggest vHC to BLA projections are able to 332 modulate the acquisition of contextual fear, although these effects are relatively small in size 333 334 ^{75,80}. While the precise role of the vHC in associative fear learning is yet to be determined, it is

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possible that connections between the vHC and BLA permit modulation of the more heavilyBLA-mediated associative fear learning.

337 Plasticity within the BLA was necessary for the acquisition and expression of both 338 heightened associative memories of a stressful event and the heightened sensitivity to novel 339 stressors after prior stress. Furthermore, these two phenotypes were correlated, albeit weakly. 340 It may be argued that these defensive phenotypes are one in the same. Prior evidence, as 341 well as data presented here, stands in opposition to this possibility. First, extinction of the associative memory for an initial stressor has been found to leave the enhanced response to a 342 second stressor intact ^{15,36,37}. Second, early life stress at a time point when rodents are not 343 344 able to form associative memories nevertheless leaves animals with heightened responses to 345 subsequent stressors in adulthood ¹⁴. Third, pharmacological blockade of NMDA receptors 346 during initial stress that provides near-complete loss of associative memory for that event do not reduce the heightened responding to subsequent aversive events ¹⁵. Lastly, despite the 347 348 finding presented here that inactivation of the BLA and vHC were able to equivalently impair 349 trauma memory recall, only inactivation of the BLA was able to alter the heightened sensitivity 350 to a novel stressor. Therefore, despite both phenotypes' dependence upon the BLA, 351 associative memory for a stressor and the enhanced responding to subsequent stress are 352 dissociable. It could be that synapse- and ensemble-specific plasticity within the amygdala 353 supports the associative memory for a specific stressor, whereas a broader form of non-354 associative plasticity within the amygdala supports sensitized stress responses. Future 355 studies need to disentangle how plasticity within the amygdala supports these two forms of 356 learning.

357 It is particularly striking that only manipulations of the vHC were able to alter stress 358 induced-changes in exploratory anxiety-related behaviors despite both the BLA's and vHC's 359 role in associative fear learning. If exploratory anxiety-related behaviors reflected 360 generalization of the associative memory for a stressor, as is sometimes assumed, one would 361 expect that manipulations that affect associative fear memories would also affect anxiety-362 related behaviors. Instead, manipulations of the BLA produced a profound impact on 363 associative fear but no change in exploratory anxiety-related behavior, indicating that these 364 behaviors are supported by distinct neural processes. Furthermore, we saw no evidence of a 365 correlation between measures of associative fear for an initial stressor and exploratory anxiety-

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related behavior. This is in line with prior reports where near-complete abolition of an
associative fear memory did not reduce stress-induced changes in anxiety-related
behavior^{14,15}, and indicate that these phenotypes are supported by unique biological
processes. Here, we extend these results by showing where plasticity underlying stressinduced changes in exploratory anxiety-related behavior occur, in the vHC.

371 In closing, these results shed new light on how stress-induced plasticity within the BLA 372 and vHC support the formation of defensive behavioral phenotypes. Furthermore, they 373 highlight just how distinct components of memories for stressful events might be. Similar to the separate memory systems in the brain supporting episodic and procedural learning of the 374 375 same event, we find that different defensive behaviors induced by stress are supported by distinct brain regions. This has important clinical implications for the treatment of anxiety 376 377 disorders and other stress-associated mental health conditions. First, it suggests that clinically targeting one stress-induced defensive behavior, or the circuits that support that behavior, may 378 379 leave others wholly unaffected. Perhaps some of the existing gaps in treatment result from a 380 failure to adequately target the spectrum of defensive processes altered in these conditions. 381 Second, by understanding the relationship between specific defensive behaviors and their biology, in combination with knowing the specific defensive behaviors altered in a particular 382 383 mental health condition, we may make greater headway in the treatment of these conditions. 384 For instance, associative learning processes are more likely affected in some mental health 385 conditions, while anxiety-related behaviors might be more affected in others, and these differences undoubtedly covary with different neuronal patterns. Indeed, it is known that the 386 387 different anxiety disorders are not only symptomatically different, but characterized by unique brain activity patterns⁸¹. By understanding these inter-relationships, we may more rapidly find 388 389 the appropriate key for each lock as we try to advance treatments for stress-associated mental 390 health conditions.

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401 AUTHOR CONTRIBUTIONS

ZTP and DJC conceived of the overarching research goals, designed the experiments,
and oversaw the experiments. ZTP analyzed the experimental data and prepared the initial
manuscript. ZTP, ARL, PS, ZCW, YF, ZD, TRF, LC, SLF, IM, TS and DJC contributed to
interpretation of the results and edited the manuscript. ZTP, ALB, PS, ZCP, YF, ZD, TRF, LC,
and SF performed experiments. ZTP and PD designed software for analysis of behavioral
data. DJC, IM, TS, ZTP, ZCW and YF secured funding.

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409 DECLARATION OF INTERESTS

410 The authors declare no competing interests.

411 STAR METHODS

412 Animals:

All animals were adult male C57BL/6J mice obtained from Jackson Laboratories, aged 2-6 months. Animals were housed in a temperature- and humidity-controlled vivarium on a 12/12 light-dark cycle (lights on at 7 a.m.). All experimental procedures were approved by the lcahn School of Medicine at Mount Sinai's IACUC.

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418 **Behavioral testing:**

For all experiments, animals were singly housed beginning 1 week prior to the start of behavioral testing and were handled by the experimenters for approximately 1 min/day for 5 days during this time. When systemic injections were to be given, animals were additionally briefly habituated to restraint 2-3 times. Animals were habituated to being transported from the vivarium to the laboratory 2-3 times to mitigate transport serving as an associative cue.

424 Trauma and trauma recall: Animals were transported from the vivarium in their cages on 425 a cart to the experimental testing room, which was well lit and had a fan providing ambient 426 sound. Animals were then placed in a brightly lit experimental testing chamber with a grid floor 427 (Med Associates), scented with 5% Simple Green solution. During trauma, after a 5 min 428 period of baseline exploration, animals received 10, 1 sec, 1 mA, scrambled foot-shocks, with 429 an inter-shock interval of 30 sec. Animals were taken out of the testing chamber 30 sec after 430 the last shock and returned to the vivarium in their home cage. For trauma recall sessions, animals were transported to the same experimental testing chamber for an 8 min test session. 431

432 Novel stressor and novel stressor recall: Animals were transported from the vivarium in P1000 pipet boxes and carried in a dark box to the experimental testing room, which was dark 433 434 except a dim red light. Animals were then placed inside of a dark testing chamber (Med 435 Associates) with a flat plexiglass floor and a curved back wall. The chamber was scented with 436 1% acetic acid solution. After a 3 min baseline period, animals were exposed to a single loud 437 auditory stimulus (3 sec, 130 dB white noise, 0 ms rise time) that was delivered by a speaker 438 attached to the wall. Animals were removed 10 sec later and returned to the vivarium. For 439 novel stressor recall sessions, animals were transported to the same experimental testing chamber for an 8 min test session. 440

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441 Exploratory anxiety-related tests: The light-dark test was conducted using two 442 interconnected square compartments with an open top (each compartment measured 7.5 in 443 width x 11.25 in height), separated by a 1.5 in wide passageway that could be closed with an 444 opaque sliding divider. One chamber was made of all white acrylic, while the walls of the other 445 were covered in matte black wallpaper and had a red acrylic floor. Overhead lighting provided 446 luminance of 50 lux on the light side. After a 1 min baseline period in which animals were 447 confined to the dark side, the central divider was raised and the animals could freely explore both sides of the light-dark box. The open field test was conducted in a circular arena (19 in 448 449 diameter; 10 in height) made of white acrylic. A circular field was utilized in order to avoid the 450 need to define arbitrary center/outer areas. Animals were placed along one wall and allowed to explore for 5 min. Luminance was approximately 50 lux. For the elevated plus maze, each 451 452 arm measured 2 3/8 in wide and 13.75 in long. The floor of the maze was made of white acrylic, and the enclosed arms had black walls (8 in high). Luminance of the open arms was 453 454 25 lux. Animals were placed at the end of a closed arm and then allowed to explore freely for 455 5 min. For all anxiety-related behavior tests, apparatus were cleaned with 70% ethanol 456 between test sessions and behavior was captured with an overhead webcam. These sessions were conducted in an otherwise dark room with a fan providing ambient background noise. 457

458 Learning Rate Analysis: To examine differences in the acquisition of associative fear 459 after trauma (Fig S1), animals experienced a 10 foot-shock trauma (1 sec, 1 mA foot-shocks, 460 distributed pseudo-randomly over the course of an hour), or were placed in the same 461 environment but received no shocks. 19-20 days later, all animals were then placed in a novel 462 environment and received one tone-shock pairing per day across 7 days (Tone = 30 sec, 75 463 dB white noise. Foot-shock = 2 sec, 0.25 mA). A lower amplitude foot-shock was utilized because it is known to produce lower asymptotic freezing levels ⁸². The tone was presented 464 465 after 5 min baseline and co-terminated with shock. Data is compared qualitatively to 466 predictions from the Rescorla-Wager Model⁸³. All animals received a 20 minute habituation 467 session in the novel environment before training began. Additionally, all mice were tested for 468 trauma recall twice (8 min/test), once the day after trauma and once the day before habituation 469 to the novel stressor environment. Of note, these mice were initially used for another experiment designed to assess the impact of trauma on conditioned cocaine place preference. 470 471 All mice received 3, 20 mg/kg, intraperitoneal injections of cocaine hydrochloride between

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trauma and tone-shock pairings. That said, no differences in preference were found between
groups (data available at github.com/ZachPenn/BLAvHC_Dissoc).

474 Behavior quantification: For analysis of freezing and motion in conditioning chambers, 475 Med Associates Video Freeze software was used to analyze videos acquired from a near infra-476 red camera located in the chamber ⁸⁴. For measuring distance travelled and time spent in regions of interest in exploratory anxiety-related behavior tests, ezTrack was used ^{85,86}. With 477 478 the exception of freezing during the trauma and novel stressor session, all measures reflect the average across the entire session. For freezing during the trauma, time was binned into 479 480 the 300 sec baseline and then 10 post-shock periods. Each post-shock period was 20 sec in 481 length and begun 10 sec after shock offset.

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483 Surgery:

For surgery, anesthesia was induced with 5% isoflurane and subsequently maintained 484 485 at 1-2%. Body temperature was maintained during surgery and recovery with a heating pad 486 below the animal, and ophthalmic ointment was applied to lubricate the eyes. All surgeries 487 followed aseptic surgical technique. For viral surgeries, 100 nL of AAV5-hSyn-PSAM4-GlyR-IRES-EGFP (2.4 x 10¹³ GC/mL; Addgene 119741) was infused into the BLA (AP: -1.4; ML: 3.3; 488 489 DV: -5) or vHC (AP: -3; ML: 3.2; DV: -4.5) at 2 nL/sec via glass pipettes. Alternatively, an equivalent volume of sterile PBS was infused. 10 min was allowed for diffusion before 490 491 removing the injector, irrigating the incision with saline, and suturing the incision site. For 492 cannulation surgeries, 26 gauge guide cannula (P1 Technologies; 8IC315GMNSPC) were 493 implanted overlying the BLA (AP: -1.4; ML: 3.2; DV: -3.5) or vHC (AP: -3; ML: 3.2; DV: -3), and 494 affixed to the skull with dental cement and super glue. A skull screw was also implanted 495 during surgery to help secure the head cap (P1 Technologies; 00-96X1/16). After surgery, 496 dummy cannula that extended 1.5 mm below the guide cannula were inserted (P1 497 Technologies 8IC315DCMNSP). Following surgery, animals were given 20 mg/kg ampicillin and 5 mg/kg carprofen (s.c.) per day for 7 days and body weight and general disposition were 498 499 monitored. All surgeries followed aseptic surgical technique.

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501 Anisomycin experiments:

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502 For experiments in which anisomycin (Sigma A9789) was administered systemically, we 503 utilized a dose of 150 mg/kg (10 mL/kg, s.c.), consistent with prior literature ^{87,88}. Because 504 numerous waves of protein synthesis have been found to support memory consolidation ^{59,89,90}, we opted to administer anisomycin 3 times, once every 4 hours. In line with prior 505 reports ^{91,92}, this should maintain approximately 90% blockade of protein synthesis for 12 506 507 hours. For experiments in which anisomycin was administered intracranially, 33 gauge 508 injectors (P1 Technologies; 8IC315IMNSPC) attached via PE-20 tubing (Instech) to a Harvard 509 syringe pump (Harvard Apparatus, #55-2222) were utilized to infuse anisomycin (10 ng/nL) at a rate of 150 nL/min. 300 nL of anisomycin solution was administered per hemisphere in the 510 511 vHC. 200 nL was administered per hemisphere in the BLA. Control animals were infused with an equivalent volume of 1X PBS. Following infusions, injectors were left in place for 1 min 512 513 before removal. Again, anisomycin was infused 3 times, once every four hours. Prior to testing, animals were habituated to handling such that infusions could be done while mice 514 were gently held by the experimenter. Additionally, all animals received a habituation infusion 515 of 1X PBS 2-3 days prior to the trauma day. Anisomycin was first dissolved in a small volume 516 517 of 0.1 N HCL (90% PBS, 10% 1 N HCL), brought near concentration with the addition of 1X PBS, and the pH was then normalized to 6-7 by the addition of 1 N NaOH. 518

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520 **PSAM experiments:**

521 For PSAM experiments, actuation of PSAM4-GlyR was achieved through intraperitoneal administration of 1 mg/kg uPSEM-817-tartrate (Tocris), 15-20 minutes prior to behavior, at a 522 523 volume of 10 mL/kg (dissolved in saline). For the study in which the effects of inhibiting the vHC or BLA were assessed on multiple measures of exploratory anxiety-related behavior. 524 525 each animal underwent each of these tests twice, once with uPSEM and once with vehicle. 526 Tests occurred in a fixed order across two weeks, with open-field on Monday, EPM on 527 Wednesday, and Light-Dark on Friday. However, drug order was counterbalanced, such that 528 half the animals that received uPSEM on the first open field test received saline on the first 529 EPM, and so forth.

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531 Histology:

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532 At the end of behavioral testing, animals that underwent surgical manipulation were 533 deeply anesthetized, and their brains were then extracted and placed in paraformaldehyde 534 overnight at 4C. For animals with cannula implants, 100 nl of DAPI (0.5 mg/mL) was infused 535 prior to brain extraction, but after anesthesia, to mark cannula placement. The next day, 536 brains were transferred to 30% sucrose in 1X PBS and left at 4C to sink before being frozen 537 and sectioned at 50 um on a cryostat. Tissue was then mounted on slides and either cover-538 slipped using mounting media with DAPI (Vector Laboratories, #H-1200-10) for checking viral placement or cover-slipped with non-fluorescent mounting media (Vector Laboratories, #H-539 540 1000-10) after a green nucleic acid stain. For green nucleic acid staining, slides were 541 submerged in 50 mM Sytox Green (diluted in 1X PBS from 5 mM. Thermo Fisher #S7020) for 10 min and then washed 3x in 1X PBS. Tissue was then imaged on a Leica DM6 542 543 epifluorescent microscope. Viral expression and cannula placement was evaluated using the mouse brain atlas of Franklin and Paxinos ⁹³. 544

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546 **Analysis**:

547 All analyses were performed using RStudio. All data and statistical analysis are available at github.com/ZachPenn/BLAvHC_Dissoc. Groups sizes are listed in each figure 548 549 legend. Briefly, omnibus ANOVA were conducted using the package ezANOVA with type 3 550 degrees of freedom. The white adjustment was implemented to correct for heterogeneity of 551 variance using heteroscedasticity corrected standard errors ('hc3'). For repeated measures 552 ANOVA, the Greenhouse-Geisser correction was implemented when the assumption of 553 sphericity was not met. Planned comparisons and post-hoc t-tests (Welch unequal variance) 554 were performed after omnibus significance was detected. Post-hoc tests were evaluated 555 against a modified criterion calculated using the Dunn-Sidak method in order to keep family-556 wise type 1 error at 0.05. F and t values are rounded to the nearest tenth. Where F values 557 were less than .1, F is listed as 0.

558 KEY RESOURCE TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER		
Bacterial and virus strains				
AAV5-hSyn-PSAM4-GlyR-IRES-EGFP (2.4 x 10 ¹³ GC/mL)	Magnus et al, 2019 ⁶¹	Addgene: 119742		
Chemicals, peptides, and recombinant proteins				
Anisomycin from Streptomyces griseolus	Millipore Sigma	Millipore Sigma: A9789		
uPSEM 817 tartrate	Tocris	Tocris: 6866		
Experimental models: Organisms/strains				
Mouse: C57BL/6J	The Jackson Laboratory	JAX: 000664		
Software and algorithms				
Med Associates Video Freeze	Med Associates ⁸⁴	Med Associates: SOF-843		
ezTrack	Pennington et al, 2019 ⁸⁶	www.github.com/den isecailab/eztrack		

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